# JC10 Rec'd PCT/PTO 16 MAY 2005

Approved for use through 3/3/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT O F COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 130098-1001

CC	N	CER	NING A SUBMISS	SION UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)						
		ional Ap J2003/0	oplication No. 01526	International Filing Date November 14, 2003	Priority Date Claimed November 15, 2002						
Title	of	Inventio	on g Delivery Device and Method		, , , , , , , , , , , , , , , , , , , ,						
Appl		nt(s) for	DO/EO/US ter Wharton and Ben Huber								
Appl				es Designated/Elected Office (DO/EO/US) the	following items and other information.						
1. 0	X	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.									
2. E	ב	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3. 🖸	X)	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. 🛭	X	The U	S has been elected (Article 31).								
5. E	X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
		<ul> <li>a. □ is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. ☒ has been communicated by the International Bureau.</li> <li>c. □ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>									
6. E	3	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
		a. □ b. □	is attached hereto. has been previously submitted	d under 35 U.S.C. 154(d)(4).							
7. 🛭	XI	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	<ul> <li>a.  \( \subseteq \) are attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  \( \subseteq \) have been communicated by the International Bureau.</li> <li>c.  \( \subseteq \) have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  \( \subseteq \) have not been made and will not be made.</li> </ul>										
8. C	3	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. E	]	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. 🗆	כ	An Eng 371(	amination Report under PCT Article 36 (35 U.S.C.								
I	tem	s 11 to	20 below concern document(s	s) or information included:							
11. [	⊐	An Inf	ormation Disclosure Statement	t under 37 CFR 1.97 and 1.98.							
12. [	<b>-</b>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. [	]	A preliminary amendment.									
14. [	X	An Application Data Sheet under 37 CFR 1.76.									
15. [	<b>_</b>	A subs	stitute specification.		•						
16. [	_	A pow	er of attorney and/or change of	f address letter.							
17. [	ב	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.									
18. [	×	A second copy of the published International Application under 35 U.S.C. 154(d()4).									
19. [	_	A seco	ond copy of the English languag	ge translation of the international application to	under 35 U.S.C. 154(d)(4).						
20. <b>[</b>	X	Other	items or information: Internation	onal Search Report; International Preliminary	Examination Report w/Article 34 Amendment: Form						

PCT/IB/306 Notification of the Recording of a Change; Amendments filed 1/14/05 and 1/21/05; correspondence advising of a name change to Medistream; and correspondence requesting a correction to the name of applicant to Medi-Stream.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minute to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICAT	ION NO. (if known	ATTORNEY'S DOCKET NUMBER										
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22. 🗵 Exami						\$200.00						
If International preliminary examination report prepared by USPTO and all claims satisfy provisions												
of PCT Article 33(1)-(4)												
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23. \(\bigsim\) Search fee												
Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an												
International Searching Authority												
			6400.00									
All other situatio	ns	\$400.00 \$										
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filed and grante	a to restore the In	ternational A	pplication to pending st	atus.	//·//	1/1/	<u> </u>					
SEND ALL COR	RESPONDENCE	TO:			Mm 1	Youll	les					
Tim Head		•		-	SI	IGNATURE						
	Vynne Sewell LLP	/	<i>r</i>									
	isiana, Suite 3400	Headley										
Houston, Texas 77002 NAME												
				_	31,765							
					DECICTDATI	ON NUMBER						

FORM PTO-1390 (REV. 02-2005)

# IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

Applicants: David Peter Wharton )
Ben Huber )

Application Number: Not Assigned

Filed: May 16, 2005

Int'l App. No.: PCT/AU2003/001526

Int'l Filing Date: November 14, 2003

Priority Date: November 15, 2002

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: DRUG DELIVERY DEVICE

AND METHOD

I hereby certify that this document is being deposited on this day with the U.S. Postal Service as, Express Mail under 37 C.F.R. 1.10 and is addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22343-7450

Signature of Person Mailing Correspondence

**CERTIFICATE OF MAILING** 

Deborah Foots
Typed or Printed Name of Person Mailing
Correspondence

Date of Signature: 5165

EV 451434259 US Express Mail Label Number

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### TRANSMITTAL LETTER

- Application Data Sheet;
- 2. Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- 3. Copy of Published Application with International Search Report;
- 4. Copy of International Preliminary Examination Report with Article 34 Amendment;
- 5. Copy of Article 19 Amendments filed 3/22/04;
- 6. Copy of Amendments filed 1/14/05;
- 7. Copy of Amendments filed 1/21/05;
- 8. Copy of correspondence dated 4/27/05 advising of name change;

10/535110

PATENT
Rec'd PCT/PTO 16 MAY 2009



Dated: May 16, 2005

- 9. Copy of correspondence dated 5/11/05 requesting correction of name;
- 10. Check for \$1590.00; and
- 11. Return postcard.

Applicants believe that no additional fees are due for the filing of these papers. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 07-0153.

Respectfully submitted,

Tim Headley

Attorney for Applicant / Registration No.: 31,765 Customer No. 37058

Customer No. 37058
Gardere Wynne Sewell LLP

1000 Louisiana Street, Suite 3100 Houston, Texas 77002-5007

Phone: (713) 276-5320 Fax: (713) 276-6320 theadley@gardere.com

# JC13 Rec'd PCT/PTO 16 MAY 2009

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**OUR REF:** 

13904PC2-PMT/SJR

VIA FACSIMILE ONLY: 02 6283 7999

21 January 2005

URGENT FINAL DATE FOR IPER 15 MARCH 2005

The Receiving Office IP Australia WODEN ACT 2606

Attention: David Melhuish

SIR

INTERNATIONAL PATENT APPLICATION PCT/AU03/01526

Owner:

**David Peter Wharton** 

Title:

DRUG DELIVERY DEVICE AND METHOD

I refer to the telephone discussion this morning between yourself and Sarah Rizzo of our offices and attach herewith corrected amended page 54 as requested.

Thank you for your advice.

Yours respectfully

EISHER ADAMS KELLY

**RÓBIN T. KELLY** 

Encl: Amended page 54

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OUR REF:

13904PC2-PMT/SJR

URGENT-30 MONTH DEADLINE 15 MAY 2005

27 April 2005

VIA FACSIMILE: +41 22 740 14 35

International Bureau of WIPO 34 chemin des Colombettes 1211 GENEVA 20 SWITZERLAND

SIR

INTERNATIONAL PATENT APPLICATION PCT/AU03/01526

Owner:

**David Peter Wharton** 

Title:

DRUG DELIVERY DEVICE AND METHOD

We request the above identified application be amended to show Medistream Pty Ltd as the applicant in all countries other than the USA.

We enclose an Appointment of Agent authorising us to act on behalf of the assignee.

Thank you for your assistance in this matter. Could you please acknowledge receipt of this correspondence.

Yours respectfully

**FISHER ADAMS KELLY** 

PAUL M. THOMPSON

Appointment of Agent

The PTO did not receive the following enclosean

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**BEST AVAILABLE COPY** 

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OUR REF:

13904PC2-PMT/SJR

11 May 2005

URGENT-30 MONTH DEADLINE 15 MAY 2005

VIA FACSIMILE: +41 22 740 14 35

International Bureau of WIPO 34 chemin des Colombettes 1211 GENEVA 20 SWITZERLAND

SIR

INTERNATIONAL PATENT APPLICATION PCT/AU03/01526

Owner:

**David Peter Wharton** 

Title:

DRUG DELIVERY DEVICE AND METHOD

We refer to our request of 27 April 2005 to amend the above identified application to Medistream Pty Ltd as the applicant in all countries other than the USA.

It has been brought to our attention that the spelling of the applicant should in fact be Medl-Stream Pty Ltd. Could you kindly note and record this change.

Yours respectfully FISHER ADAMS KELLY

PAUL M. THOMPSON